



Rick Fullerton

Partner, Financial Services

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**Toronto**

(416) 862-4604

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Bar Admission: Ontario, 1998

Areas of Expertise

Securitization and Structured Finance

Financial Services Regulatory

Capital Markets

Financial Services

Rick’s practice covers many areas of banking, finance and securities law, with an emphasis on securitization and structured finance, corporate finance and financial institutions work.

He has acted for clients on a variety of securitization and structured finance transactions and has extensive experience in acting for single and multi-seller securitization conduits, issuers, underwriters and investment banks covering a wide variety of asset classes, including covered bonds, residential mortgages, credit cards, automotive loan and lease receivables, equipment and automotive dealer floorplan receivables and trade receivables.

Rick has also acted on many public and private initial and secondary offerings of corporate and financial institution debt, equity and innovative capital products.

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Awards and Recognition

- **Chambers Canada: Canada’s Leading Lawyers for Business:** Recognized in Capital Markets: Securitization (Band 1)
- **Chambers Global: The World’s Leading Business Lawyers:** Recognized in Capital Markets: Securitization
- **The Canadian Legal Lexpert Directory:** Recognized in Asset Securitization; Corporate Finance & Securities; Banking & Financial Institutions; Canada’s top asset securitization

lawyers in 2021

- **Best Lawyers in Canada:** Recognized in Banking and Finance Law; Securities Law; Structured Finance Law; “Lawyer of the Year”, Structured Finance Law
  - **Legal 500:** Recognized as a Leading Individual in Capital Markets
  - **IFLR 1000:** *The Guide to the World’s Leading Financial Law Firms*: Recognized in Banking; Capital Markets; Structured Finance and Securitization (Highly Regarded)
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Credentials

Education

- University of Ottawa, LL.B./M.B.A. (summa cum laude)
  - Carleton University, B.A. (Hons.)
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Languages

- English
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Professional Affiliations

- Canadian Bar Association
- Ontario Bar Association